## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

	Washingto	n, D.C. 20549	
STATEMENT	<b>OF CHANGES</b>	IN BENEFICIAL	<b>OWNERSHIP</b>

2. 20549	OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden

## Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).							d pursuant to Section 16(a) of the Securities Exchange Act of 1934														
1. Name and Address of Reporting Person* <u>AUST BRUCE</u>				2.	or Section 30(h) of the Investment Company Act of 1940  2. Issuer Name and Ticker or Trading Symbol NASDAQ OMX GROUP, INC. [ NDAQ ]										all application	able)	ng Pers	son(s) to Iss			
(Last) (First) (Middle) ONE LIBERTY PLAZA					3. Date of Earliest Transaction (Month/Day/Year) 10/14/2013										X	below)	(give title	e title Other (spe below) utive Vice President			
(Street) NEW YORK NY 10006 (City) (State) (Zip)				4.	4. If Amendment, Date of Original Filed (Month/Day/Year)								Indivi ne) X	,							
		Tal	ole I - No	on-Deri	vativ	re Se	ecuri	ties A	cq	uired,	Dis	sposed	of, c	or Be	neficia	lly (	Owned				
1. Title of Security (Instr. 3)  2. Transa Date (Month/D				Execution Date,			,	3. Transaction Code (Instr. 8)  4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4)						s Illy ollowing	Form ly (D) or		7. Nature of Indirect Beneficial Ownership (Instr. 4)				
									Code	′	Amount		(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)				(msu: 4)	
Common Stock, par value \$0.01 per share 10/14/2				/2013	.013			М		7,500	A		\$9.3	.5	122,251			D			
Common Stock, par value \$0.01 per share 10/14/2			/2013	2013				S <sup>(1)</sup>		7,500		D \$33		1 <sup>(2)</sup> 114,		751 <sup>(3)</sup>		D			
			Table II									osed of				y Oı	wned				
Derivative   Conversion   D		3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	n Date,	4. Transa Code ( 8)		5. Number of		Ex	Date Exer	ate		7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4)		Security d 4)	De Se	erivative ecurity nstr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactic (Instr. 4)	es ally g d ion(s)	10. Ownershi Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)
					Code	ie V	(A)	(D)	Da Ex	ate ercisable		xpiration ate	Title		or Number of Share	5					
Employee Stock Option	\$9.15	10/14/2013			M			7,500		(4)	0	2/18/2014		nmon	7,500		\$0	15,0	00	D	

(5)

(7)

(8)

(9)

(10)

11/15/2014

03/04/2020

12/17/2018

03/28/2021

12/13/2016

12/12/2017

Stock

Common

Stock

Common

Common Stock

Common

Stock

120,000

25,210

45,528

25,496

32,558

22,564

120,000

25,210

45,528

25,496

32,558

22,564

D

D

D

D

D

D

## **Explanation of Responses:**

\$7.35

\$19.75

\$25.07

\$25.28

\$35.92

\$45.38

(Right to Buy) Employee Stock Option

(Right to Buy) Employee Stock Option

(Right to Buy)

Employee Stock Option

(Right to Buy) Employee Stock Option

(Right to Buy) Employee Stock Option

(Right to Buy) Employee Stock Option

(Right to Buy)

- 1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 13, 2012.
- 2. The price reported in this box is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$33.05 to \$33.21, inclusive. The reporting person undertakes to provide to The NASDAQ OMX Group, Inc., any security holder of The NASDAQ OMX Group, Inc., or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.
- 3. Represents (i) 32,274 vested shares of restricted stock, (ii) 76,290 shares underlying PSUs, of which 37,006 shares are vested and (iii) 6,187 shares purchased under the Employee Stock Purchase Plan.

- 4. Options exercisable.
- 5. Options exercisable.
- 6. Options exercisable on March 4, 2014.
- 7. Options exercisable.
- 8. Options exercisable on March 28, 2014.
- 9. Options exercisable.
- 10. Options exercisable.

/s/ Edward S. Knight, by power 10/15/2013 of attorney

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.