## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| washington, D.C | 20548 |
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| ı | OMB APP     | ROVAL    |
|---|-------------|----------|
|   | OMB Number: | 3235-028 |

87 Estimated average burden

## Check this box if no longer subject to Section 16. Form 4 or Form 5

|  | ions may contir<br>tion 1(b). | nue. See         |                  | File   |   |  |  |        | a) of the Se  |       |                                  |   | 1934  |   |   | hours                                 | per res | sponse: | 0.5        |  |
|--|-------------------------------|------------------|------------------|--|---|--|--|--------|---|-------|----------------------------------|---|---|---|---|---------------------------------------|---------|---------|------------|--|
| 1. Name and Address of Reporting Person*  AUST BRUCE                           |                               |                  |                  |  | 2.  | or Section 30(h) of the Investment Company Act of 1940  2. Issuer Name and Ticker or Trading Symbol  NASDAQ OMX GROUP, INC. [ NDAQ ] |  |        |   |       |                                  |   |   |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner |                                       |         |         |            |  |
| (Last) (First) (Middle) ONE LIBERTY PLAZA                                      |                               |                  |                  |  | 3. Date of Earliest Transaction (Month/Day/Year) 12/18/2012 |  |  |        |   |       |                                  |   |   | X Officer (give title Other (specify below)  Executive Vice President   |   |                                       |         |         |            |  |
| (Street) NEW YORK NY 10006   |                               |                  |                  | 4.   | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |  |  |        |   |       |                                  |   |   | 6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |   |                                       |         |         |            |  |
| (City)   | (S                            |                  | (Zip)            | Davis  |   | - 6-   |  | 0 -    |   | D:-   |                                  | f av Da   |   | -:-!!-  | O   |                                       |         |         |            |  |
| Table I - Non-Deriva  1. Title of Security (Instr. 3)  2. Transa Date (Month/D |                               |                  | saction          | action 2A. Deemed Execution Date, if any     |   | Code (Instr.   5)  |  |        | 5. Amount of<br>4 and Securities<br>Beneficially<br>Owned Following                     |       | nt of<br>es<br>ally<br>following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | : Direct<br>r Indirect  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership   |   |                                       |         |         |            |  |
|  |                               |                  |                  |  |   |  |  |        | Code  | v     | Amount                           | nount (A) o   |   | rice  | Reported<br>Transact<br>(Instr. 3 a   | ion(s)                                |         |         | (Instr. 4) |  |
| Common   | Stock, par                    | value \$0.01 per | share            | 12/1   | 8/201   | 3/2012   |  | М      |   | 20,00 | 0 A                              | A \$7   |   | 5 163,471   |   |                                       | D       |         |            |  |
| Common Stock, par value \$0.01 per share 12/                                   |                               |                  | 12/1             | 8/201  | 3/2012  |  | S <sup>(1)</sup>   |        | 20,00   | 0 D   |                                  | \$25  | 143,  | ,471 <sup>(2)</sup>   |   | D                                     |         |         |            |  |
|  |                               | -                | Table II - I     |  |   |  |  |        | uired, D<br>s, option   |       |                                  |   |   |   | Owned   |                                       |         |         |            |  |
|  |                               |                  | Transa<br>Code ( | ansaction of Ex<br>ode (Instr. Derivative (M |   | Expiration   | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |        | 7. Title and Amo<br>of Securities<br>Underlying<br>Derivative Secur<br>(Instr. 3 and 4) |       |                                  | B. Price of<br>Derivative<br>Security<br>(Instr. 5)               | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s<br>(Instr. 4) |   | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4)                    | Beneficial<br>Ownership<br>(Instr. 4) |         |         |            |  |
|  |                               |                  |                  |  | Code  | v  | (A)  | (D)    | Date<br>Exercisabl  |       | Expiration<br>Date               | Title   | Amo<br>or<br>Nun<br>of<br>Sha   | ber   |   |                                       |         |         |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                               | \$7.35                        | 12/18/2012       |                  |  | M   |  |  | 20,000 | (3)   | 1     | 1/15/2014                        | Common<br>Stock   | 20,   | 000   | \$0   | 130,00                                | 0       | D       |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                               | \$9.15                        |                  |                  |  |   |  |  |        | (4)   | (     | 02/18/2014                       | Common<br>Stock   | 90,   | 000   |   | 90,000                                | )       | D       |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                               | \$19.75                       |                  |                  |  |   |  |  |        | (5)   | (     | 03/04/2020                       | Common<br>Stock   | 25,   | 210   |   | 25,210                                | )       | D       |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                               | \$25.07                       |                  |                  |  |   |  |  |        | (6)   | 1     | 2/17/2018                        | Common<br>Stock   | 45,   | 528   |   | 45,528                                | 3       | D       |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                               | \$25.28                       |                  |                  |  |   |  |  |        | (7)   | (     | 03/28/2021                       | Common<br>Stock   | 25,   | 496   |   | 25,496                                | 5       | D       |            |  |

## **Explanation of Responses:**

\$35.92

\$45.38

- $1. \ The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 7, 2012.$
- 2. Represents (i) 7,000 shares of common stock acquired upon exercise of vested stock options, (ii) 32,274 vested shares of restricted stock, (iii) 98,010 shares underlying PSUs, of which 11,545 shares are vested and (iv) 6,187 shares purchased under the Employee Stock Purchase Plan.

(8)

(9)

Common Stock

Common

Stock

12/13/2016

12/12/2017

32,558

22,564

32,558

22,564

D

D

3. Options exercisable.

Employee Stock Option (Right to

Employee Stock Option

(Right to Buy)

Buy)

- 4. Options exercisable.
- 5. Options exercisable on March 4, 2014.
- 6. Options exercisable.
- 7. Options exercisable on March 28, 2014.
- 8. Options exercisable.
- 9. Options exercisable.

/s/ Edward S. Knight, by power 12/20/2012 of attorney

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.