FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

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**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Griggs PC Nelson</u>					2. Issuer Name <b>and</b> Ticker or Trading Symbol NASDAQ, INC. [ NDAQ ]								5 ((	5. Relationship of Reporting Person(s) to Is (Check all applicable)  Director 10% Ov					wner	
(Last) (First) (Middle) 151 W. 42ND STREET			3. Date of Earliest Transaction (Month/Day/Year) 11/08/2024									<b>V</b>	belov	,	Other (s below) oital Access Pla		, ,			
(Street) NEW YORK NY 10036  (City) (State) (Zip)					4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable Line)      Form filed by One Reporting Person     Form filed by More than One Reporting Person						
			Table	I - No	on-Deriva	tive \$	Secui	rities	Acc	quired	d, Dis	sposed of	, or B	enefic	ially	<b>Own</b>	ed			
D D			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, r) if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4			and 5) Securit		ties cially I Following	Form (D) o	vnership n: Direct r Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership			
							Code	v	Amount	(A) or (D)	Price		Transa	saction(s) r. 3 and 4)			(Instr. 4)			
Common Stock, par value \$0.01 per share				11/08/2024				S		5,000	D	\$79.5	51(1) 2(		00,821		D			
Common Stock, par value \$0.01 per share				11/11/20	/2024				S		5,000	D	\$80.2	0.21(2)		195,821(3)		D		
			Tab	ole II								osed of, convertib				Owne	d			
1. Title of Derivative Security (Instr. 3)	2. Conversi or Exerci Price of Derivative Security	on C	s. Transaction Jate Month/Day/Year)	Execu			action (Instr. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ative rities ired osed	6. Date Exer Expiration D (Month/Day/		ate	7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		nt		9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

## Explanation of Responses:

1. The price reported in this box is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$79.51 to \$79.54, inclusive. The reporting person undertakes to provide to Nasdaq, any security holder of Nasdaq or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1) to this Form 4.

- 2. The price reported in this box is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$80.21 to \$80.22, inclusive. The reporting person undertakes to provide to Nasdaq, any security holder of Nasdaq or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2) to this Form 4
- 3. Represents (i) 79,612 shares or units of restricted stock, of which 28,568 are vested and (ii) 116,209 shares underlying PSUs, all of which are vested.

/s/ Alex Kogan, by power of <u>attorney</u>

Shares

11/12/2024

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.