### SEC Form 4

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

0.5

onger subject 4 or Form 5	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP	OMB Number: Estimated average bur	3235-0287 den
nue. See	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	hours per response:	0.5
	or Section 30(h) of the Investment Company Act of 1940		
f Reporting Person <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol 5. Relationship o	Reporting Person(s) to	Issuer

1. Name and Address of Reporting Person <sup>*</sup> Peterson Bradley J			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>NASDAQ, INC.</u> [ NDAQ ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner						
(Last) 151 W. 42ND S	(First) TREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/01/2024	X	Officer (give title below) Executive Vice P	Other (specify below) President				
(Street) NEW YORK NY 10036			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	,					
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant t satisfy the affirmative defense conditions of Rule 10b5-1(c). See I							

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)3. Trans Code 8)							6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)	
Common Stock, par value \$0.01 per share	04/01/2024		<b>A</b> <sup>(1)</sup>		9,632	Α	\$0.00	210,362	D		
Common Stock, par value \$0.01 per share	04/01/2024		F <sup>(2)</sup>		3,974	D	\$62.29	206,388 <sup>(3)</sup>	D		

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Expiration Date (Month/Day/Yea Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		e Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

**Explanation of Responses:** 

1. Represents shares or units of restricted stock (RSUs) granted pursuant to Nasdaq's Equity Incentive Plan, which vest as to 33% on April 1, 2026, 33% on April 1, 2027 and the remainder on April 1, 2028

2. Represents the surrender of shares to pay withholding taxes in connection with vesting of equity previously granted under Nasdaq's Equity Incentive Plan.

3. Represents (i) 108,928 shares or units of restricted stock, of which 38,202 are vested, (ii) 93,114 shares underlying PSUs, all of which are vested and (iii) 4,346 shares purchased under the Employee Stock Purchase Plan.

> /s/ Alex Kogan, by power of 04/03/2024 <u>attorney</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no I to Section 16. Form 4 obligations may conti Instruction 1(b).