SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Addres	1 0	erson [*]		er Name and Tickel DAQ OMX (ymbol NC. [NDAQ]		ationship of Reportin all applicable) Director	10% C	Owner
(Last) ONE LIBERTY	(First) PLAZA	(Middle)	3. Date 12/14/	of Earliest Transac /2009	ction (Month/D	ay/Year)	X	Officer (give title below) Executive V	other below; /ice President	
(Street) NEW YORK (City)	NY (State)	10006 (Zip)	4. If Am	nendment, Date of (Original Filed	(Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Form filed by One Form filed by Mon Person	e Reporting Pers	on
		Table I - Non	n-Derivative S	ecurities Acqu	uired, Disp	osed of, or Benefi	cially	Owned		
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership

(Month/Day/Year)			Month/Day/Year)			5)			(I) (Instr. 4)	Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(1130.4)		
Common Stock, par value \$0.01 per share	12/14/2009		F		2,255	D	\$19.93	80,924 ⁽¹⁾	D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned												

(e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of 🛛		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to Buy)	\$7.35							(2)	11/15/2014	Common Stock	200,000		200,000	D	
Employee Stock Option (Right to Buy)	\$9.15							(3)	02/18/2014	Common Stock	90,000		90,000	D	
Employee Stock Option (Right to Buy)	\$25.07							(4)	12/17/2018	Common Stock	45,528		45,528	D	
Employee Stock Option (Right to Buy)	\$35.92							(5)	12/13/2016	Common Stock	32,558		32,558	D	
Employee Stock Option (Right to Buy)	\$45.38							(6)	12/12/2017	Common Stock	22,564		22,564	D	

Explanation of Responses:

1. Represents (i) 7,000 shares of common stock acquired upon exercise of vested stock options, (ii) 58,615 shares or units of restricted stock of which 3,180 are vested, (iii) 12,396 unvested shares underlying PSUs and (iv) 2,913 shares purchased under the Employee Stock Purchase Plan.

2. Options vested as to 100%.

3. Options vested as to 100%.

4. Options exercisable on December 17, 2012, subject to accelerated vesting on December 17, 2011, or extension of vesting until December 17, 2013, depending on the achievement of performance goals.

5. Options vested as to 50%. Remaining 50% exercisable on December 13, 2010.

6. Options exercisable on December 12, 2010.

<u>/s/ Edward S. Knight, by power</u> <u>12/16/2009</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.