FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: Estimated average burden hours per response: 0.5

## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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1. Name and Address of Reporting Person* <u>Ewing Anna M</u>						2. Issuer Name <b>and</b> Ticker or Trading Symbol NASDAQ OMX GROUP, INC. [ NDAQ ]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner					
(Last) (First) (Middle) ONE LIBERTY PLAZA						3. Date of Earliest Transaction (Month/Day/Year) 06/03/2013										X Officer (give title below) Other (specify below)  Executive Vice President					
(Street) NEW YORK NY 10006					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting						
(City) (State) (Zip)																Persoi					
		Tab	le I - No	n-Deri\	<i>r</i> ative	Se	curiti	es A	cquired,	Dis	posed	of, oı	r Ben	efici	ally (	Owned	t				
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)						2A. Deemed Execution Date, if any (Month/Day/Year)		Code (I	Transaction Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)			4 and Securi Benefi		es ially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	t (A) or (D)		Price		Transac (Instr. 3	ction(s) and 4)				
Common Stock, par value \$0.01 per share 06/03/2					3/2013	2013			S <sup>(1)</sup>		20,00	20,000		\$31	.3(2)	226	,771 <sup>(3)</sup>		D		
		T							quired, D s, option							wned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	4. Transaction Code (Instr 8)		n of I		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Am of Securities Underlying Derivative Secu (Instr. 3 and 4)		ecurity	Dei	Price of rivative curity str. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisabl		xpiration ate	Title	0 N	Amount or Number of Shares	r						
Employee Stock Option (Right to Buy)	\$19.75								(4)	0.	3/04/2020	Comi		34,664	4		34,664	1	D		
Employee Stock Option (Right to Buy)	\$25.07								(5)	1	2/17/2018	Comi		<b>15,5</b> 28	В		45,528	3	D		
Employee Stock Option (Right to Buy)	\$25.28								(6)	0	3/28/2021	Comi		39,66	1		39,661	L	D		
Employee Stock Option (Right to Buy)	\$35.92								(7)	1	2/13/2016	Comi		32,558	8		32,558	3	D		
Employee		ĺ	ĺ				i		ĺ										Ì		

## **Explanation of Responses:**

Stock

Buy)

Option (Right to

- 1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 8, 2013.
- 2. The price reported in this box is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.77 to \$31.60, inclusive. The reporting person undertakes to provide to The NASDAQ OMX Group, Inc., any security holder of The NASDAQ OMX Group, Inc. or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.
- 3. Represents (i) 102,403 vested shares of restricted stock, (ii) 107,414 shares underlying PSUs, of which 48,257 shares are vested and (iii) 16,954 shares purchased under the Employee Stock Purchase Plan.
- 4. Options exercisable on March 4, 2014.

\$45.38

- 5. Options exercisable.
- 6. Options exercisable on March 28, 2014.
- 7. Options exercisable.
- 8. Options exercisable.

22,564

22,564

D

Common

12/12/2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.